

DOCKET NO. CPS10128US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: JIANG Tianfu

Serial No.: \_\_\_\_\_ Group Unit No.: \_\_\_\_\_

Filed: \_\_\_\_\_ Examiner: \_\_\_\_\_

Title: Method and System of Converting Waste Plastics Into Hydrocarbon Oil

Patent No.: \_\_\_\_\_ Issued: \_\_\_\_\_

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
UNDER 37 CFR 1.9 (C-F) AND 1.27 (B-D)**

With respect to the invention described in

the specification filed herewith,  application or  patent identified above,  
I hereby declare that I am

(a)  a below named independent inventor and that I qualify as an independent inventor as  
defined in 37 CFR 1.9(c) for purposes of paying reduced fees under Section 41 (a) and (b) of Title  
35, United States Code to the Patent and Trademark Office.

**OWNERSHIP DECLARATION**

I hereby declare that rights under contract or law remain with and/or have been conveyed or  
partially conveyed to the following identified  person(s)  concern  organization

Such person(s), concern, organization: Name(s): CHEN Yanping, GUO Wuyi

Address: (see the Assignment)

**ACKNOWLEDGMENT OF DUTY TO NOTIFY PTO OF STATUS CHANGE**

I acknowledge the duty to file, in this application or patent, notification of any change in status  
resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the  
earliest of the issue fee or any maintenance fee due after the date on which status as small entity  
is no longer appropriate. (37 CFR 1.28(b))

**DECLARATION**

I hereby declare that all statements made herein of my own knowledge are true and that all  
statements made on information and belief are believed to be true; and further that these  
statements were made with the knowledge that willful false statements and the like so made are  
punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States  
Code, and that such willful false statements may jeopardize the validity of the application, any  
patent issuing thereon, or any patent to which this verified statement is directed.

**SIGNATURE(S)**

Name of Inventor: JIANG Tianfu

Name of Inventor: \_\_\_\_\_

Signature: Tian fu - jiang

Signature: \_\_\_\_\_

Date: 03-26-2001

Date: \_\_\_\_\_

Please type a plus sign (+) inside this box →

PTO/SB/01 (10-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

Declaration Submitted with Initial Filing       Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number	TJK/166
First Named Inventor	Tianfu Jiang
COMPLETE IF KNOWN	
Application Number	/
Filing Date	
Group Art Unit	
Examiner Name	

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**METHOD AND SYSTEM OF CONVERTING WASTE PLASTICS INTO HYDROCARBON OIL**

*(Title of the Invention)*

the specification of which

is attached hereto

OR

was filed on (MM/DD/YYYY)

as United States Application Number or PCT International

(if applicable).

Application Number

and was amended on (MM/DD/YYYY)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed?

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES	Certified Copy Attached? NO
PCT/CN01/00099		01/22/01	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

**DECLARATION — Utility or Design Patent Application**

Direct all correspondence to:  Customer Number \_\_\_\_\_ OR  Correspondence address below

Name *Timothy J. Keefer*  
*do Wildman Harrold Allen & Dixon*

Address *223 West Wacker Drive*

**Address**

City <i>Chicago</i>	State <i>IL</i>	ZIP <i>60606-1229</i>
Country <i>USA</i>	Telephone <i>312-201-2000</i>	Fax <i>312-201-2555</i>

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**NAME OF SOLE OR FIRST INVENTOR :**  A petition has been filed for this unsigned inventor

Given Name (first and middle [if any]) <i>Tianfu</i>	Family Name or Surname <i>JIANG</i>		
Inventor's Signature <i>Jiang Tianfu</i>	Date <i>03-26-2001</i>		
Residence: City <i>Beijing</i>	State <i>CA</i>	Country <i>China</i>	Citizenship <i>China</i>

Mailing Address *Zao Jun Xi Li, Bldg. No. 2, Apt. 1-501, Haidian District, Beijing 100081, China*

**Mailing Address**

City <i>Beijing</i>	State <i>CA</i>	ZIP <i>100081</i>	Country <i>China</i>
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**NAME OF SECOND INVENTOR:**  A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])	Family Name or Surname		
Inventor's Signature	Date		
Residence: City	State	Country	Citizenship

**Mailing Address**

City	State	ZIP	Country
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Additional inventors are being named on the \_\_\_\_\_ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

Please type a plus sign (+) inside this box



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## POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	PCT/CN01/00099
Filing Date	01/22/01
First Named Inventor	Tianfu Jing et al.
Group Art Unit	
Examiner Name	
Attorney Docket Number	

I hereby appoint:

Practitioners at Customer Number

Place Customer  
Number Bar Code  
Label here

OR

Practitioner(s) named below:

Name	Reg. No.	Name	Reg. No.
Thomas J. Ring	29,971	Doug S. Rupert	44,434
Timothy J. Keefer	35,567	D. Keith Scheer	39,356
Gary R. Gillen	35,157	Judie D. Dziezak	40,599
		Mark D. Kelly	39,467

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Please change the correspondence address for the above-identified application to:

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OR

<input checked="" type="checkbox"/> Firm or Individual Name	Timothy J. Keefer			
Address	225 West Wacker Drive			
Address				
City	Chicago	State	Illinois	Zip
Country	USA			60606
Telephone	(312) 201-2000	Fax	(312) 201-2555	

I am the:

Applicant/Inventor.

Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name	JIANG, Tianfu	<i>Tianfu Jiang</i>
Signature		
Date	✓ 03-26-2001	

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

\*Total of \_\_\_\_\_ forms are submitted.